



10/709311

COFC

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,012,763 B2

Issue Date : Mar. 14, 2006

Inventor : Yuan-Hsun WU

Docket No. : NTCP0034USA

Certificate  
APR 04 2006  
of Correction

Title: APERTURE PLATE FOR LITHOGRAPHY SYSTEMS

To: Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Subject: Request for Certificate of Correction of Office Mistake

The name of the assignee listed on the front page of the Patent is incorrect (Attachment 1). Documents on record indicate this as an Office mistake of consequence.

The applicant hereby requests that a Certificate of Correction be issued under 37 CFR 1.322 to correct the name of the assignee from **Nanya Technolog Corp.** to **Nanya Technology Corp.** which was correctly listed on the Fee Transmittal submitted on 01/03/2006 (Attachment 2) and The Notice of Recordation dated 04/28/2004 (Attachment 3).

APR 05 2006

Respectfully submitted,

Winston Hsu

Date: 03.28.2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

(Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 13 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan).

APR 05 2006



(12) **United States Patent**  
**Wu**

(10) **Patent No.:** **US 7,012,763 B2**  
(45) **Date of Patent:** **Mar. 14, 2006**

(54) **APERTURE PLATE FOR LITHOGRAPHY SYSTEMS**

(75) Inventor: **Yuan-Hsun Wu, Tao-Yuan Hsien (TW)**

(73) Assignee: **Nanya Technolog Corp., Tao-Yuan Hsien (TW)**  
"Technology"  
*Please correct inco*

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 1 day.

(21) Appl. No.: **10/709,311**

(22) Filed: **Apr. 28, 2004**

(65) **Prior Publication Data**

US 2005/0243440 A1 Nov. 3, 2005

(51) **Int. Cl.**

**G02B 27/00** (2006.01)

**G03B 27/72** (2006.01)

(52) **U.S. Cl.** ..... **359/738; 355/67**

(58) **Field of Classification Search** ..... **359/738-740; 355/53, 67**

See application file for complete search history.

(56)

**References Cited**

**U.S. PATENT DOCUMENTS**

**6,842,224 B1 \*** 1/2005 Mori ..... 355/53

\* cited by examiner

Primary Examiner—Scott J. Sugarman

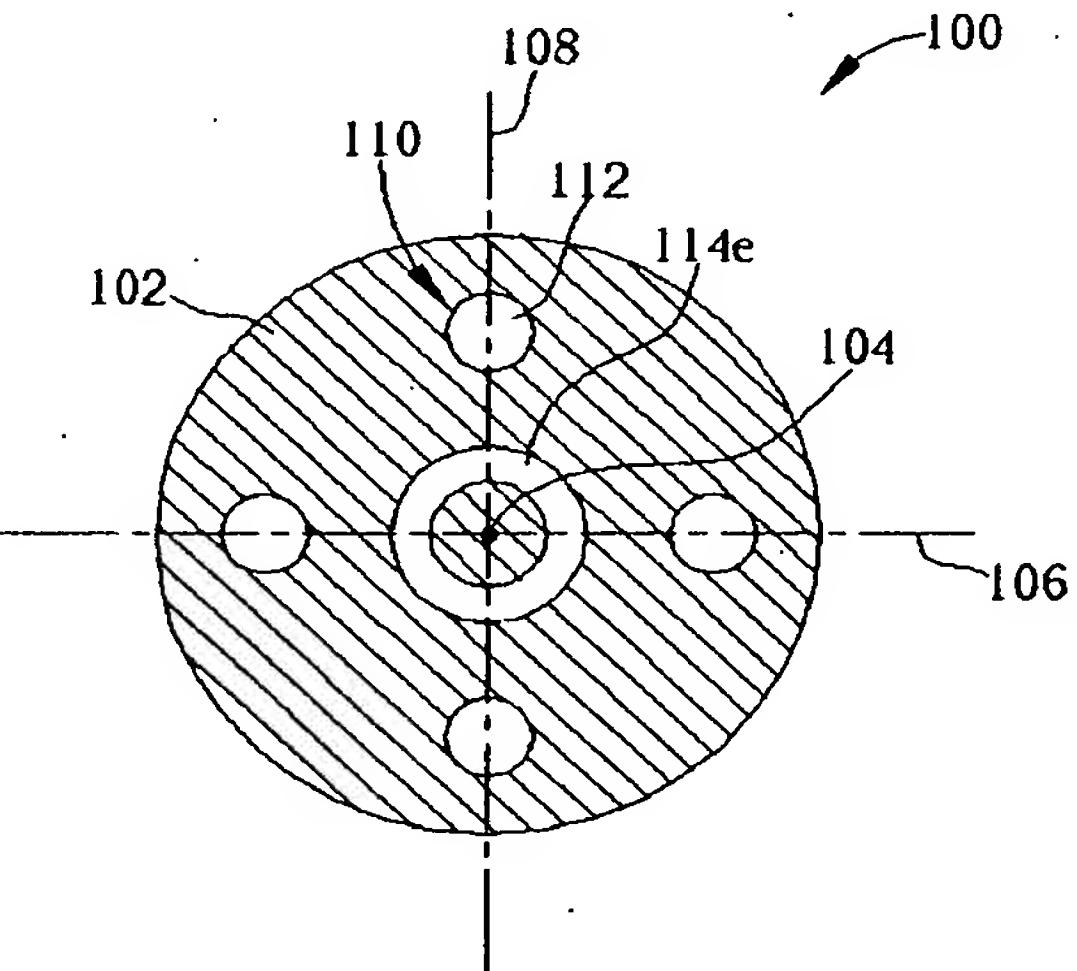
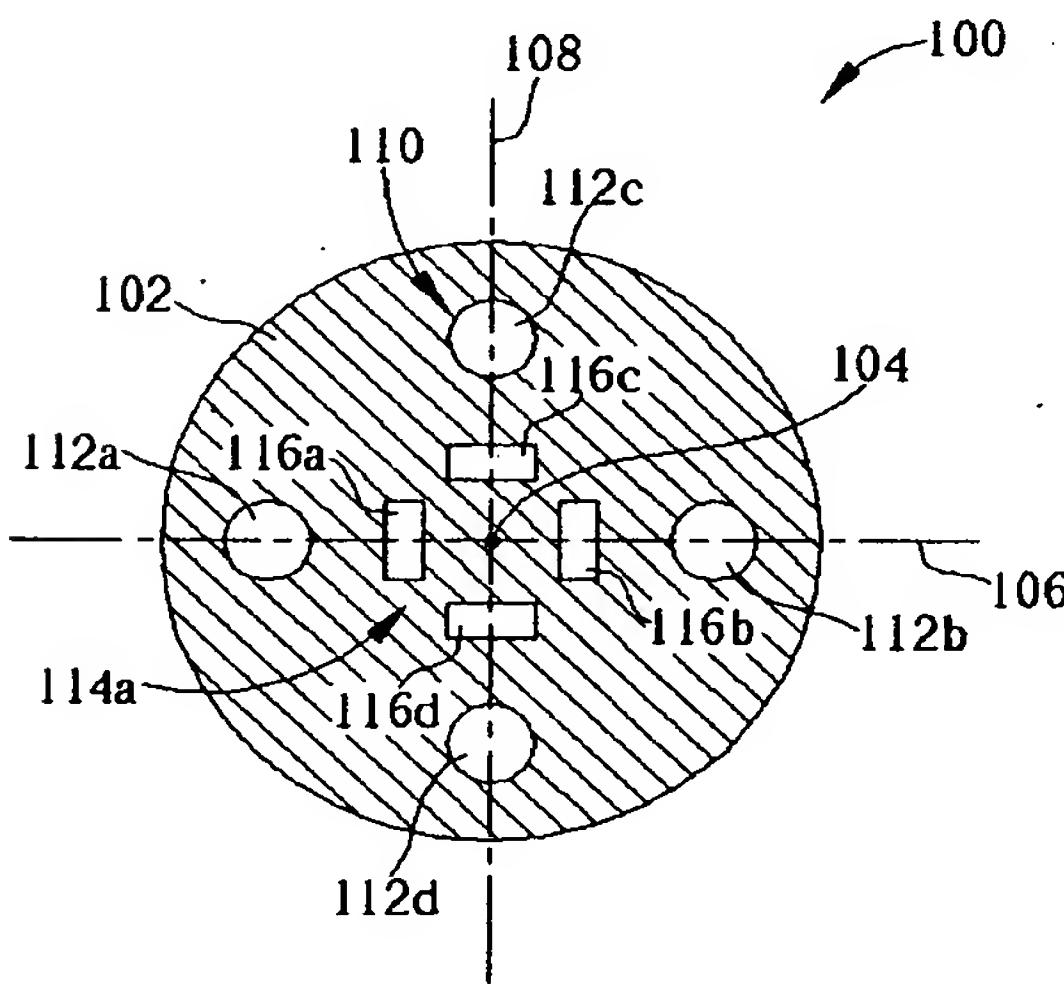
(74) Attorney, Agent, or Firm—Winston Hsu

(57)

**ABSTRACT**

An aperture plate for lithography systems capable of improving NILS. The aperture plate includes a light-intercepting region and a light-transmitting region. The light-intercepting region has a reference center point. A horizontal reference line and a vertical reference line are defined on the light-intercepting region and intersect the reference center point. The light-transmitting region includes four pole apertures defining a central area. Two of the pole apertures are positioned on the horizontal reference line, and the other pole apertures are positioned on the vertical reference line. The light-transmitting region further includes at least a symmetric pattern aperture positioned in the central area, wherein the symmetric pattern aperture has a symmetric center overlapping the reference center point.

**11 Claims, 9 Drawing Sheets**





Attachment >

**PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**  
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027765 7590 12/09/2005

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Zoe Huang	(Depositor's name)
Zoe Huang	(Signature)
Zoe Huang	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/709,311	04/28/2004	Yuan-Hsun WU	NTCP0034USA	3310

**TITLE OF INVENTION: APERTURE PLATE FOR LITHOGRAPHY SYSTEMS**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/09/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
SUGARMAN, SCOTT J	2873	359-738000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). <input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. <input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	1. Winston Hsu 2. 3.
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**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

**(A) NAME OF ASSIGNEE**

**NANYA TECHNOLOGY CORP.**

**(B) RESIDENCE: (CITY and STATE OR COUNTRY)**

**Hwa-Ya Technology Park 669, Fuhsing 3 Rd., Kueishan, Tao-Yuan Hsien, Taiwan. R.O.C.**

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are enclosed:

Issue Fee  
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**5. Change in Entity Status (from status indicated above)**

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Winston Hsu

Date **2006/01/03**

Typed or printed name Winston Hsu

Registration No. **41,526**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Attachment 7



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APRIL 28, 2004

PTAS

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P.O. BOX 506  
MERRIFIELD, VA 22116

*Zoe Tseng*

*2004/5/17*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 04/28/2004

REEL/FRAME: 014537/0445  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WU, YUAN-HSUN

DOC DATE: 04/20/2004

ASSIGNEE:

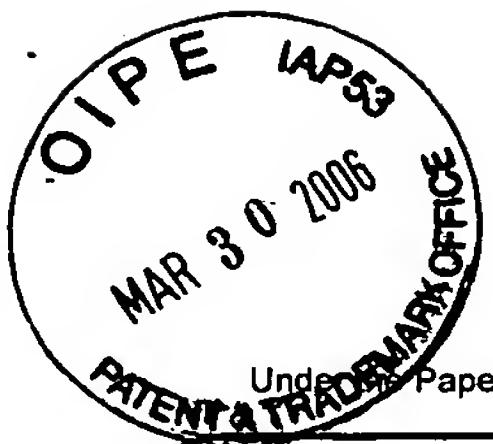
NANYA TECHNOLOGY CORP.  
HWA-YA TECHNOLOGY PARK 669,  
FUHSING 3 RD.,  
KUEISHAN  
TAO-YUAN HSIEN, TAIWAN

SERIAL NUMBER: 10709311  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

014537/0445 PAGE 2

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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EFM

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number

10/709,311

Filing Date

04/28/2004

First Named Inventor

Yuan-Hsun WU

Art Unit

2873

Examiner Name

SUGARMAN, SCOTT J

Attorney Docket Number

NTCP0034USA

### ENCLOSURES (Check all that apply)

Fee Transmittal Form

Fee Attached

Amendment/Reply

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Reply to Missing Parts/ Incomplete Application

Reply to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation

Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) \_\_\_\_\_

Landscape Table on CD

#### Remarks

Request for Certificate of Correction of Office Mistake

After Allowance Communication to TC

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s) (please identify below):

1. Request for COC
2. Copy of the Front Page of Patent
3. Copy of Fee Transmittal submitted on 01/03/2006
4. Copies of the Notice of Recordation dated 04/28/2004

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

North America Intellectual Property Corporation

Signature

Printed name

Winston Hsu

Date

3/28/2006

Reg. No.

41,526

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APR 05 2006



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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 0.00)

### Complete if Known

Application Number	10/709,311
Filing Date	04/28/2004
First Named Inventor	Yuan-Hsun WU
Examiner Name	SUGARMAN, SCOTT J
Art Unit	2873
Attorney Docket No.	NTCP0034USA

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: **50-3105** Deposit Account Name: North America Intellectual Property Corporation

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Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments  
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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		
	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>
	- 20 or HP =	x	=			

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
	- 3 or HP =	x	=			

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50	(round up to a whole number)	x	=

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

### SUBMITTED BY

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent) 41,526	Telephone 3027291562
Name (Print/Type)	Winston Hsu		Date 3/28/2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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